Exact Heavy to Light Meson Form Factors in the Combined Heavy Quark, Large N_c and Chiral Limits.

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We demonstrate that the form factors of local operators between a heavy meson state (like the B) and a light pseudoscalar state (like the pion) are given exactly by a single pole form in the combined heavy quark, large N_c (number of colors) and chiral limits. We discuss the deviations from this exact result from finite heavy quark masses, non-zero light quark masses and finite N_c . We comment on some of the numerous implications of this result.

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1. Introduction

Little is known about form factors of local operators between a heavy meson like the B — with quantum numbers of a single heavy quark Q and a single light antiquark \bar{q} — and light pseudoscalar mesons like the π -K- η octet. Isgur and Wise have shown that heavy quark symmetries[1] relate several form factors[2], but nothing is known about their shape. Thus far all theoretical attempts to describe them are based on particular models of hadrons.

Surprisingly one can calculate the shape of these form factors exactly in one specific limit. We show in this letter that when one takes the leading term in a large number of colors (N_c) expansion and simultaneously takes the leading term in the heavy quark expansion and the chiral limit, then the form factors are a single pole for all momentum transfers $t = q^2$,

$$F(q^2) = \frac{C}{q^2 - \mu^2} + \mathcal{O}\left(1/N_c, \Lambda_{\text{QCD}}/M_Q, \Lambda_{\text{QCD}}/m_q\right). \tag{1.1}$$

The location of the pole is $\mu^2 = \mu_{B^*}^2$, the squared-mass of the heavy vector meson which couples to the heavy-light current,

$$V^{\mu} = \bar{u}\gamma^{\mu}b \ . \tag{1.2}$$

The constant residue C is completely determined in terms of the decay constant f_{B^*} and its coupling to a B- π pair, $g_{B^*B\pi}$. To quantify the accuracy of this approximation and the expansion around this limit requires substantial exploration. This is outlined below and further details will be reported elsewhere [3].

The most immediate application of this result is to the decay

$$\bar{B}^0 \to \pi^+ \, e^- \, \bar{\nu}_e,$$
 (1.3)

which is the direct route to obtaining the elusive mixing angle $|V_{ub}|$ of the CKM matrix of the standard electroweak theory from measurements of B-meson decays. Little data on this mode is available yet we may anticipate the eventual measurement of the shape of its form factor as the test of these ideas.

For a thumbnail preview of the discussion below, here is the relevance of the three limits we consider: (a) in the heavy-quark limit, including $1/M_Q$ corrections, the B and B^* fall into a nearly degenerate SU(2) multiplet; (b) in the chiral limit, the conserved current mixes these states and suppresses transitions between multiplets; and (c) in the large- N_c limit multiparticle intermediate states are suppressed.

2. Derivation of the form factor

Consider the matrix element of a local operator $\mathcal{O}(x)$ with quantum numbers Q and \bar{q} :

$$\langle \pi(p')|\mathcal{O}(0)|\bar{B}(p)\rangle$$
 (2.1)

For concreteness we shall speak specifically of the $\bar{B} \to \pi$ transition. In fact π may generically stand for a light pseudoscalar meson, conveniently thought of as a $q\bar{q}$ bound state; and \bar{B} is a ground state heavy meson, that is, the lightest with $Q\bar{q}$ quantum numbers. This matrix element can be written as a sum over tensor structures times form factors, i.e., functions of the invariant momentum transfer $q^2 = (p-p')^2$. For example, the current in (1.2) defines two form factors, f_+ and f_- :

$$\langle \pi(p')|V_{\mu}|\bar{B}(p)\rangle = f_{+}(q^{2})(p+p')_{\mu} + f_{-}(q^{2})(p-p')_{\mu}.$$
 (2.2)

We evaluate the left-hand side by inserting a complete set of states which couple with the same quantum numbers as the current. In the large- N_c limit [4] the single-particle intermediate states dominate. If $F(q^2)$ is a form factor, then in the large- N_c limit

$$F(q^2) = \sum_{n} \frac{f_n g_{nB\pi}}{q^2 - \mu_n^2} \tag{2.3}$$

where the sum is over resonances B_n with masses μ_n , and couplings f_n and $g_{nB\pi}$ to the current from the vacuum and to the B- π pair,

$$g_{nB\pi} \propto \langle \pi B_n | B \rangle$$
 . (2.4)

Note that the sum over poles eq. (2.3) is very different from the statement of eq. (1.1) that a single pole term contributes: before specifying the residues the sum may be a quite general function. This generality is amply illustrated by the case of $\bar{Q}Q$ charmonium-type states of heavy quarks where the residues of many states are large and rapidly varying[5]. The massive poles are required by the structure of excited states, yet confinement forbids the anomalous-threshold singularity at small q^2 which ought to be present to describe the large size of a non-relativistic quark distribution. Hence the electromagnetic form factor is given by exactly such a sum of poles but with rapidly varying numerator coefficients. The form factor of heavy quarkonium is therefore never well-represented by a single term of (2.3), yet is a smooth function of q^2 for all $q^2 < \mu_0^2$.

The lowest mass state in the sum (2.3) is either the ground state pseudoscalar meson B or the ground state vector meson B^* , according to whether the local operator \mathcal{O} has odd or even parity, respectively. It is obvious that this state dominates in the small kinematic region

$$q^2 \approx \mu_B^2 \; ; \tag{2.5}$$

to show that this state dominates over a large range of q^2 is a dynamical question that must be addressed by evaluating the behavior of the couplings f_n and $g_{nB\pi}$. This is the question which we take up here.

As usual, the states B and B^* have equal masses in the leading order in the $1/M_Q$ expansion; the leading correction is the familiar hyperfine interaction which introduces a spin-splitting, $\mu_{B^*} - \mu_B = \mathcal{O}(1/M_Q)$, while $\mu_{B^*}^2 - \mu_B^2 = \Lambda_0^2$ independent of M_Q in the large mass limit.

We wish to show that in the leading order in the $1/M_Q$ expansion and the chiral limit $m_q \to 0$ the couplings $g_{nB\pi}$ vanish except for the case when n corresponds to the B^* state. Then the general expansion (2.3) reduces to the form (1.1).

Consider the how these same couplings arise in a different matrix element: let us look at the *light quark* axial current,

$$a_{\nu} = \bar{q}\gamma_{\nu}\gamma^5 q, \tag{2.6}$$

between the B state and the generic resonance B_n . In the leading order in the $1/N_c$ expansion it is given as a sum

$$\langle B_n | a_\nu | B \rangle = \sum_{\ell} \frac{\langle 0 | a_\nu | \pi^{(\ell)} \rangle \langle \pi^{(\ell)} B_n | B \rangle}{p'^2 - \mu_\ell^2} . \tag{2.7}$$

where ℓ runs over single particle states $\pi^{(\ell)}$ that are produced out of the vacuum by the light quark axial current, and μ_{ℓ} stands for its mass.

In the chiral limit only the pion, $\ell = 0$, contributes to this sum and the result is proportional to the couplings of interest, $\langle \pi B_n | B \rangle$.

To demonstrate this let us show that, in the chiral limit,

$$\langle 0|a_0|\pi^{(\ell)}(\vec{p}'=\vec{0})\rangle = 0$$
 (2.8)

except for the pion. We need only consider the time component of the current in the rest frame of the state. In the chiral limit the axial current is conserved:

$$0 = \langle 0 | \partial_{\mu} a^{\mu} | \pi^{(\ell)}(\vec{0}) \rangle$$

$$= p'_{\mu} \langle 0 | a^{\mu} | \pi^{(\ell)}(\vec{0}) \rangle .$$

$$= \mu_{\ell} \langle 0 | a_0 | \pi^{(\ell)}(\vec{0}) \rangle$$
(2.9)

Thus eq. (2.7) reduces to

$$\langle B_n | a_0 | B \rangle = \frac{i f_\pi p_0' \langle \pi B | n \rangle}{p'^2} ,$$
 (2.10)

when $\vec{p}' = 0$. Using conservation of a_{μ} again gives

$$0 = p_0' \langle B_n | a^0 | B \rangle$$

= $i f_\pi \langle \pi B_n | B \rangle$. (2.11)

This means that

$$\langle \pi B_n | B \rangle = 0 \tag{2.12}$$

in the frame $\vec{p}' = 0$, but this holds generally since the matrix element is a Lorentz scalar.

To go from $\langle \pi B_n | B \rangle$ to the form factors of $B \to \pi$ decay we now must specify the spin-parity quantum numbers of the state $|n\rangle$. Consider first the case in which B_n is a scalar. The off-shell matrix element $\langle \pi B_n | B \rangle$ can be characterized by a single 'form-factor',

$$\langle \pi B_n | B \rangle = g^{(n)}(p'^2, q^2, p^2) \ .$$
 (2.13)

We apply a standard dispersion relation to form factors $f_{\pm}(q^2)$, which are of the form (2.3), to replace the matrix elements in the numerator by their residue at the pole, $q^2 = \mu_n^2$. Thus to evaluate f_{\pm} , only the value of the form factor on-shell is needed. But we have just shown above that

$$g^{(n)}(\mu_{\pi}^2, \mu_n^2, \mu_B^2) = 0$$
 (2.14)

Hence scalar $Q\bar{q}$ excited states do not contribute to the resonant sum of eq. (2.3).

Consider next what happens when B_n is a vector. The matrix element is

$$\langle \pi B_n | B \rangle = (p + p') \cdot \epsilon \ g_+^{(n)}(q^2) + (p - p') \cdot \epsilon \ g_-^{(n)}(q^2) \ ,$$
 (2.15)

where ϵ is the polarization vector of the state B_n and its vacuum coupling through the vector current is defined by

$$\langle 0|V_{\nu}|B_{n}\rangle = if_{n}\epsilon_{\nu} \ . \tag{2.16}$$

The contribution to the $B \to \pi$ form factors is then

$$f_{+} = \frac{f_n g_{-}^{(n)}(\mu_n^2)}{q^2 - \mu_n^2} \tag{2.17a}$$

$$f_{-} = -f_n g_{+}^{(n)}(\mu_n^2) / \mu_n^2 - \frac{f_n g_{-}^{(n)}(\mu_n^2) \mu_B^2 / \mu_n^2}{q^2 - \mu_n^2} . \tag{2.17b}$$

The vanishing of $\langle \pi B_n | B \rangle$, eq. (2.12), for an on-shell B_n can now be applied to the form factors g_{\pm} and therefore to f_{\pm} . Nothing is learned about g_+ since B_n is on-shell. It is easy to see in the B_n rest frame $\vec{p} = \vec{p}'$ that

$$g_{-}^{(n)}\vec{\epsilon}\cdot\vec{p} = 0 \tag{2.18}$$

Let us restrict attention for now to the exact chiral limit in the heavy quark (infinite) mass limit. Then

$$\vec{\epsilon} \cdot \vec{p} = |\vec{p}| \cos \theta$$

where θ is the angle between the polarization and the momentum vectors, and is generally non-vanishing. But from the kinematics it is also true that

$$|\vec{p}| = \frac{\mu_n^2 - \mu_B^2}{2\mu_n} = \begin{cases} \Lambda_0^2 / 2\mu_{B^*} = \mathcal{O}(1/M_Q) & \text{for } n = B^* \\ \Lambda_n + \mathcal{O}(1/M_Q) & \text{otherwise,} \end{cases}$$
(2.19)

where we introduce the mass difference $\Lambda_n \equiv \mu_n - \mu_B$ for $n \neq B^*$ states, and take the large mass limit in the last equality. Therefore, in the combined limit,

$$0 = g_{-}^{(n)} |\vec{p}| = \begin{cases} 0 & \text{for } n = B^* ,\\ g_{-}^{(n)} \Lambda_n & \text{otherwise.} \end{cases}$$
 (2.20)

The couplings to excited states thus go as $g_{-}^{(n)} \sim \mathcal{O}(1/M_Q) \to 0$ except for $n = B^*$, for which $g_{-}^{(B^*)} \sim \mathcal{O}(1)$.

We thus obtain the advertised result that the form factor is given by the single pole:

$$f_{+} = \frac{f_{B^*} g_{-}^{(B^*)}(\mu_{B^*}^2)}{q^2 - \mu_{B^*}^2} \approx f_{-}. \tag{2.21}$$

The last relation follows since f_{-} satisfies

$$f_{-} = -f_{+} + \left[(1 - \mu_{B}^{2} / \mu_{B^{*}}^{2}) f_{+} + K \right], \tag{2.22}$$

where K is an undetermined constant. Since we have taken the large mass limit, our form factors should satisfy the standard scaling laws

$$f_{+} + f_{-} \sim \mu_B^{-1/2} \tag{2.23a}$$

$$f_{+} - f_{-} \sim \mu_B^{1/2} \tag{2.23b}$$

and therefore the term in the square bracket in (2.22) can be neglected.

Some remarks:

- (1) The choices made of particular reference frames were for convenience and not necessary to the derivation. One can obtain, for instance, the same result eq. (2.12) by taking the divergence on both sides of (2.7) and letting $p'^2 \to 0$: every term in the sum then vanishes except for the massless state.
- (2) Higher spin states can be readily incorporated into the discussion. A spin- ℓ meson is characterized by a totally symmetric traceless transverse ℓ -index "polarization" tensor, $\epsilon_{\mu_1...\mu_{\ell}}$. The generalization of eq. (2.15) has then, on the right hand, a sum over form factors

$$\sum_{\text{sign permutations}} g_{\pm \cdots \pm} \epsilon^{\mu_1 \cdots \mu_\ell} (p \pm p')_{\mu_1} \cdots (p \pm p')_{\mu_\ell}$$

As in the vector case, we only learn about one form factor, namely $g_{-\dots}$. The rest of the argument is then just as before.

(3) The large- N_c limit suppressed multiparticle intermediate states but not zero-particle intermediate states. Any contact term contribution to the form factor shows up as part of the constant K and is instead suppressed by a factor of $1/M_Q$.

3. Discussion

We computed the hadronic form factor in the triple limit of large- N_c , heavy quarks, and chiral symmetry. We predict the weak decay form factor governing $\bar{B}^0 \to \pi^+ e^- \bar{\nu}_e$ to be pole-dominated as in the result eq. (2.21) for all q^2 . Moreover, the two factors in the numerator, f_{B^*} and $g^{(B^*)}$, can be roughly estimated at least by scaling measured values for charmed mesons using heavy quark symmetry.

It is crucial to trace how the three limits $(1/N_c \to 0, 1/M_Q \to 0 \text{ and } m_q \to 0)$ entered our derivation, for the next goal must be to estimate the corrections and understand the approach to the limit. Is the result independent of the order of the limits? If not, what limit and approximations are appropriate?

There is a subtle issue in the ordering of the approach to the simultaneous $1/M_Q \to 0$ and $m_q \to 0$ limits. In ref. [6], for instance, Isgur and Wise examined the effect of the B^* pole on the $B \to \pi$ transition. They concluded that pole-dominance held only for a narrow kinematic region in contrast with our result. They relied crucially on taking the pion mass to zero first rather than, say, holding $\mu_{\pi}\mu_{B}$ fixed. Consider small chiral symmetry breaking corrections to eq. (2.12): for infinitesimal m_q ,

$$\langle \pi B | B^* \rangle \sim m_q \varphi(M_Q, m_q, \Lambda),$$
 (3.1)

where φ is some function of the masses and the hadronic scale Λ , and $m_q \varphi \to 0$ as $m_q \to 0$. For an on-shell B^* this implies

$$g_{-}^{(B^*)} \Lambda_0^2 / M_Q \sim m_q \varphi(M_Q, m_q, \Lambda) \ .$$
 (3.2)

Therefore, the function φ has a finite limit as $m_q \to 0$ for M_Q fixed (Λ is always fixed), while $\lim_{M_Q \to \infty} \varphi \sim 1/m_q$.

Examples of such functions are easy to come by. A class of such functions is, for example,

$$\varphi(M_Q, m_q, \Lambda) \propto \frac{M_Q^{n-1}}{\Lambda^{n+1} + m_q M_Q^n}$$
(3.3)

for any n > 1. The expansion parameter around the point $1/M_Q = 0$ is $\Lambda^{n+1}/m_q M_Q^n$. This type of expansion is familiar from calculations in chiral perturbation theory for heavy mesons, where one often finds[7,8] corrections to be functions of $\Lambda_0^2/\mu_\pi\mu_B \sim \Lambda_{\rm QCD}^{3/2}/m_q^{1/2}M_Q$. Just as the example eq. (3.3), the corrections computed in refs. [7,8] are not singular in either of the limits $m_q \to 0$ or $1/M_Q \to 0$, but the expansion parameters for the expansions about $m_q = 0$ and $1/M_Q = 0$ are the inverse of each other. We see that the large mass and chiral limits are inextricably coupled.

Let us consider one of these calculations in some detail. Chiral perturbation theory can be used to predict the leading corrections to the form factors for semileptonic $B \to D$ or D^* decays which are generated at low momentum, below the chiral symmetry breaking scale. Deviations from the predicted normalization of form factors arise from terms of order $1/M_Q^2$ in either the lagrangian or the current as dictated by non-perturbative physics, and there are computable corrections that arise from the terms of order $1/M_Q$ in the lagrangian

which enter at one-loop. Retaining only the dependence on the larger hyperfine splittings $\Delta_D = \mu_{D^*} - \mu_D$, the correction to the matrix elements at zero recoil are[7]

$$\langle D(v)|J_{\mu}^{\bar{c}b}|B(v)\rangle = 2v_{\mu} \left(1 - \frac{3g^2}{2} \left(\frac{\Delta_D}{4\pi f}\right)^2 \left[F(\Delta_D/\mu_{\pi}) + \ln(\mu^2/\mu_{\pi}^2)\right] + C(\mu)/m_c^2\right)$$
(3.4)

where C and stands for tree level counter-terms and

$$F(x) \equiv 2 \int_0^\infty dz \frac{z^4}{(z^2+1)^{3/2}} \left(\frac{1}{[(z^2+1)^{1/2}+x]^2} - \frac{1}{z^2+1} \right)$$
(3.5)

This matrix element has alternate, inequivalent expansions around the limits x=0 and $x=\infty$, which correspond to individually taking $M_Q \to \infty$ and $\mu_\pi \to 0$, respectively. For small $x, F(x) \sim x$ while as $x \to \infty$, $F(x) \sim \log x$ and this cancels the log singularity above.

It is instructive to compare the behavior of $g_{-}^{(B^*)}$ to that of the form factors of higher vector states, $g_{-}^{(n)}$. For these we expect a relation similar to (3.2),

$$g_{-}^{(n)}\Lambda_n \sim m_q \varphi(M_Q, m_q, \Lambda) ,$$
 (3.6)

where φ is not necessarily the same function as above, but has the same properties. We therefore predict that at finite small m_q one should find

$$g_{-}^{(n)}/g_{-}^{(B^*)} \sim 1/M_Q \ .$$
 (3.7)

Consider the strong decays of the excited states D'_1 and D^*_2 into the D or D^* mesons and a pion studied in ref. [9], as well as the corresponding states where charm is replaced by bottom. In the notation of ref. [9] these strong decays are described in the combined heavy quark and chiral limits by the effective (chiral) lagrangian

$$\mathcal{L}_{d} = \frac{h_{1}}{\Lambda_{\gamma}} \operatorname{Tr} \left[\bar{H}_{a} T_{b}^{\mu} \left(i D_{\mu} A \right)_{ba} \gamma_{5} \right] + \frac{h_{2}}{\Lambda_{\gamma}} \operatorname{Tr} \left[\bar{H}_{a} T_{b}^{\mu} \left(i D A_{\mu} \right)_{ba} \gamma_{5} \right] + \text{h.c.}, \qquad (3.8)$$

where T^{μ} and H are the spin supermultiplets containing the D'_1 and D^*_2 , and D and D^* fields, respectively, and A_{μ} is the axial vector field. It follows that in the large- N_c limit one must have $h_1 + h_2 \sim \mathcal{O}(1/M_Q)$. This is nontrivial information which is not automatically included in the effective lagrangian formulation.

In contrast to the expectation that $g_{B^*B\pi}$ scales like M_Q , it has been shown above that $g_{B^*B\pi} \sim 1$. Note that this is necessary if the form factors in eq. (2.21) are to scale

according to (2.23) over the whole kinematic range. Recall that $f_{B^*} \sim M_Q^{1/2}$, and consider the region of maximum momentum transfer $q^2 \approx q_{\text{max}}^2 \equiv (\mu_B - \mu_\pi)^2$. In the chiral limit,

$$f_{+}(q_{\text{max}}^{2}) = \frac{f_{B^{*}}g_{B^{*}B\pi}}{\Lambda_{0}^{2}} + \cdots,$$
 (3.9)

where the dots indicate contributions of states above the B^* . As mentioned above, Isgur and Wise have argued that f_{\pm} should be pole-dominated in the proximity of q_{\max}^2 in the chiral limit[6]. They observe that, were $g_{B^*B\pi}$ to scale like M_Q , the B^* -pole contribution would scale like $M_Q^{3/2}$, while other resonant contributions (and the continuum) would scale like $M_Q^{1/2}$ even if their couplings to the B- π pair were to scale also as M_Q . At least in the large- N_c limit, this is not the case at all. f_{\pm} never violate the scaling laws (2.23), and pole dominance occurs because the couplings to higher resonances are suppressed by a power of M_Q .

The large- N_c expansion was used twice to write matrix elements as discrete sums over single resonances, in eqs. (2.3) and (2.7). The essential point was not so much the precise form of the sum but rather the absence, or suppression, of smooth background contributions. We do know that the large- N_c limit appears at least as good for heavy meson as for light mesons [11].

It is tempting to conjecture that $g_{B^*B\pi} \sim 1$ even at finite N_c . This would avoid the contradiction with the scaling laws for f_{\pm} . This scaling behavior of $g_{B^*B\pi}$ is important to applications where the naive scaling is used to compare B and D meson couplings. In the language of the effective chiral lagrangian of ref. [10], the coupling g of the B-B* multiplet to the pion axial current scales like $1/M_Q$ rather than M_Q^0 .

Both as a check on the assumptions discussed here and as a concrete laboratory for exploring the expansion around the limit we have analyzed the predictions of this work in the 't Hooft model, QCD in 1+1 dimensions to leading order in the large- N_c expansion. Details of this work will be extensively discussed elsewhere[3]. In this exactly solvable model, we have shown that the single pole picture is stable as one tunes the heavy quark mass down from infinity and independently stable as one tunes the light quark mass up from zero. In two dimensions there is no spin, of course, so there are no vector mesons. Instead the B-meson couples directly to the vector current and therefore plays the role of the B^* -meson of the preceding discussion. We show precisely that the couplings $g_{nB\pi}$ vanish as $m_q \to 0$ for $n \neq B$ but not for n = B. Moreover, this single pole dominance holds for any heavy quark mass M_Q .

It is apparent that the result can be generalized in several directions. For example, one may consider the form factor for a matrix element of a local operator between a pion and non-ground state $Q\bar{q}$ meson. Take, for example, the B_1 and B_2^* mesons, which form a multiplet of heavy quark spin symmetry. The above result states that, modulo accidentally degenerate states, the form factors are pole dominated by a pole at the B_1 or B_2^* squared-mass. One may consider other states for which the conserved axial current is a good interpolating field, e.g., the A_1 pseudo-vector meson. Perhaps more interestingly, one may instead consider other conserved currents as interpolating fields. The first obvious candidate is the vector current $\bar{q}\gamma^{\mu}q$. This can be used as interpolating field for the vector mesons, like the ρ and K^* .

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